

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John R. Liddicoat et al.

Serial No.:

10/004,474

Filing Date:

10/23/2001

Title:

AUTOMATED ANNULAR PLICATION

FOR MITRAL VALVE REPAIR

Group Art Unit:

3731

Examiner:

Unknown

Attorney's Docket No.:

VIA-12

HECEIVED JUN 2 4 2003

TECHNOLOGY CENTER R3700

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

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INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby make the following documents of record in the above-identified application:

U.S. Patents

- (1) U.S. Patent No. 5,450,860 issued 09/19/95 to O'Connor
- (2) U.S. Patent No. 4,505,273 issued 03/19/85 to Braun et al.
- (3) U.S. Patent No. 5,709,695 issued 01/20/98 to Northrup, III

Copies of these documents, which are listed on the accompanying Form PTO-1449 (one page), are enclosed herewith. Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of Form PTO-1449 (one page), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is believed to be required.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450, ON:

June 17, 2003

(DATE OF DEPOSIT)

James A. Sheridan

(NAME OF ATTORNEY)

(SIGNATURE)

June 17, 2003

(DATE OF SIGNATURE)

annes A. Muridan 6/17/03

Respectfully submitted,

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